<u>Application Notes for building without Owners' Corporation (referred to as 'OC'</u> <u>hereafter)</u>

1. The Applicant

1.1 Applicable to building not owned by a Civil Servants' Co-operative Building Society

1.1.1 For buildings without an OC, the applicant applying for the subsidy scheme(s) shall be <u>all</u> <u>owners</u> of the building. Owners of the building shall appoint and authorise relevant person(s) as Applicant's Representative(s) according to the different circumstances described in the table below to take charge of all matters related to the application and subsidy scheme(s). <u>Please note</u> that, if it has not been expressly provided in the DMC of the building that (1) the general meeting of owners may approve resolutions concerning repair, improvement, enhancement and maintenance of common areas and replacement of facilities in the building; and (2) such resolutions shall be binding on all owners, such resolutions shall only be valid if they are <u>unanimously approved by all owners (instead of by a majority of the owners attending the relevant meeting)</u>. The URA is entitled to review the terms of the DMC of the building and decide whether the application concerned is in compliance with the relevant application requirements. The URA shall have the final right of decision to the acceptance of any application:

	Has an	Has managers been	Applicant's Representatives
	Owners'	appointed under	
	Committee	Building Management	
	been formed	Ordinance	
	under	(Cap.344) and DMC Note 1?	
	DMC?		
(i)	Yes	No	At least two authorised members of the Owners' Committee
(ii)	No	Yes	Manager
(iii)	Yes	Yes	At least two authorised members of the Owners' Committee AND
			Managers as co-representatives
(iv)	No	No	At least two authorised owners

Note 1: 'Manager' refers to the company or person who for the time being is, for the purposes of the DMC.

- 1.1.2 The appointment and authorisation of Applicant's Representatives shall only be valid after the related resolutions are passed at a general meeting. Please refer to Paragraph 2 below for the content and requirements of the resolutions to be passed at the general meeting.
- 1.1.3 In the case of a building falling under item (ii) or (iii) in Paragraph 1.1.1 above, the Applicant must submit an independent legal opinion to URA to certify that points (1) and (2) in Paragraph 1.1.1 are complied with.
- 1.1.4 In the case of a building falling under item (i) or (iv) in Paragraph 1.1.1 above, Applicants may call the Hotline at 3188 1188 for enquiry if they have queries over their building's DMC as regards the provisions of points (1) and (2) in Paragraph 1.1.1.

1.2 <u>Applicable to buildings owned by a Civil Servants' Co-operative Building Society (CBS) not yet</u> <u>dissolved (CBS buildings)</u>

- 1.2.1 Since all units in CBS buildings are owned by the CBS, the CBS shall be the applicant of the related subsidy scheme. Except the case mentioned in Paragraph 1.2.2 below, the CBS Committee shall handle all matters related to the application for the subsidy scheme and obtain all necessary resolutions passed at a CBS General Meeting. Please refer to Paragraph 2 below for the content and requirements of the resolutions to be passed.
- 1.2.2 Pursuant to clause 33 of Co-operative Societies Rules (Cap.33A), duties of the CBS Committee are limited to those specially assigned by the said Rules or related CBS by-laws relating to General Meetings or to any other officers of the CBS. For any matters that fall beyond the authority of the CBS Committee, the authorisation procedures involved are subject to the said Rules or related CBS by-laws. The URA shall determine whether the application complies with the related statutory requirements based on the by-laws of each CBS and the decision of the URA as to whether or not the application be accepted shall be final.

2. <u>Resolutions (Applicable to buildings without Owners' Corporation)</u>

- 2.1 Application for relevant subsidy schemes must include copies of meeting notices and minutes of general meeting or CBS General Meeting (for CBS buildings) (collectively referred to as 'Meeting') where resolutions of the following agendas have been validly passed:
 - (a) To resolve on the application for the related subsidy scheme;
 - (b) To appoint and authorise the Applicant's Representatives to sign the Application Form and all other documents related to the subsidy scheme for and on behalf of all owners / the CBS;
 - (c) To carry out such works related to the subsidy scheme ('**Works**') that fall under the definition of the scope of works covered by the related subsidy scheme;
 - (d) To apportion the cost and expenditure related to the Works among all owners of the building based on the DMC or related provisions in Building Management Ordinance (for CBS buildings, such cost and expenditure shall be paid by the CBS and/or apportioned among its members according to Co-Operative Society Rules or by-laws of the CBS);
 - (e) To apply for 'Smart Tender' Note 2 (if the application for the URA's 'Smart Tender' is required by the relevant subsidy scheme) <u>The following paragraphs (f) and (g) apply only where the Applicant is applying for "Lift</u> <u>Modernisation Subsidy Scheme":</u>
 - (f) To use e-tendering platform under 'Smart Tender' of URA to procure registered lift contractor ^{Note} ³;
 - (g) To agree to URA arranging the following services $^{Note 3}$:
 - (i) e-tendering platform under 'Smart Tender' to engage the registered lift contractor;
 - (ii) Tender opening process and related support procedures under Paragraph 2.1(g)(i) above by independent professional or professional accountant;
 - (iii)Consultancy service provided by designated service provider for carrying out the lift modernisation works (if applicable)
 - (h) To authorise the Applicant's Representatives / CBS Committee Members to collect subsidies issued by the URA under the relevant scheme on behalf of the building / estate and all eligible owners / members; and
 - (i) For cases under item (i) in Paragraph 1.1.1 above, to authorise no less than two members of the Owners' Committee who are Applicant's Representatives to open a dedicated bank account for the Works and to handle all matters related to collection and release of subsidy from the scheme;
 - (ii) For cases under item (ii) or (iii) in Paragraph 1.1.1 above, to authorise the Managers to open a dedicated bank trust account (the name of the relevant building / estate must be specified) and to handle all matters related to collection and release of subsidy from the scheme;
 - (iii) For cases under item (iv) in Paragraph 1.1.1 above, to authorise no less than two owners who are Applicant's Representatives to open a dedicated bank account for the Works and to handle all matters related to collection and release of subsidy from the scheme;

- (iv) For CBS buildings, to authorise the CBS Committee to open a dedicated bank account for the Works in the name of the CBS and to handle all matters related to collection and release of subsidy from the scheme.
- 2.2 Applicants are responsible for ensuring that the resolutions above are in compliance with the terms in the DMC of the building / Co-operative Societies Rules (Cap. 33A) and the articles of the CBS (if applicable), and such resolutions shall be binding on all owners and members of the CBS.
 - Note 2: For resolution required to be passed in order to be eligible for 'Smart Tender', please refer to Paragraph 4.2.3 of 'AN-ST'.
 - Note 3: Not applicable to cases where tenders for the registered lift contractor have been invited or the works have commenced before the launching (i.e. 29 March 2019) of the First Round application of "Lift Modernisation Subsidy Scheme".

Please submit the complete Application Form and supporting documents to the following URA office according to the requirements of different subsidy/assistance scheme:

Subsidy / Assistance Scheme	Deadline	Address of URA Office	Office Hours	Submission Method	
Second-round LIMSS	30 September 2020 ^{Note1}	Building Rehabilitation Office: Unit 1001, 10/F, Tower 2, Cheung Sha Wan Plaza, 833 Cheung Sha Wan Road, Kowloon	Monday to Friday 08:45 – 12:30 13:30 – 18:00	By post or in person	
		Urban Renewal Resource Centre: 1/F, 6 Fuk Tsun Street, Tai Kok Tsui, Kowloon	Monday to Friday 10:00 – 19:00 Saturday 10:00 – 18:00		
Second-round OBB2.0 and	30 October 2020 ^{Note2}	Headquarters: 26/F, COSCO Tower, 183 Queen's Road Central, Hong Kong	Monday to Friday 08:45 – 18:00	In person	
New round of FSW Scheme		Kowloon City Neighbourhood Centre: Units K & L, 1/F, Sunshine Plaza, 17 Sung On Street, Hung Hom, Kowloon	Monday to Friday 08:45 – 13:00 14:00 – 18:00		
Common Area Repair Works Subsidy		Building Rehabilitation Office:			
'Smart Tender' Building Rehabilitation Facilitating Services	Not Applicable	Unit 1001, 10/F, Tower 2, Cheung Sha Wan Plaza, 833 Cheung Sha Wan Road, Kowloon	Monday to Friday 08:45 – 12:30 13:30 – 18:00	By post or in person	
Mandatory Building Inspection Subsidy Scheme					

- Note 1: If applicants shall actually not be able to convene an owners' meeting to resolve to participate in the Second-round LIMSS within the application period, the Application Form may be submitted to the URA first by two representing applicants and an owners' meeting for passing the relevant resolutions may be arranged later. The minutes of the meeting shall be submitted to the URA on or before 31 December 2020. Applicants shall note that failing to submit relevant minutes of meeting at the time of submission of the application form may result in delay in the processing of the application
- Note 2: If applicants shall actually not be able to convene an owners' meeting to resolve to participate in the Second-round OBB2.0 and / or the new round of FSW Scheme within the application period, the application form may be submitted to the URA first by two representing applicants and an owners' meeting for passing the relevant resolutions may be arranged later. The minutes of the meeting shall be submitted to the URA within 6 months from the deadline of application. Applicants shall note that failing to submit relevant minutes of meeting at the time of submission of the application form may result in delay in the processing of the application

Please submit the required documents to the URA office according to the subsidy/assistance scheme you join upon submission of the Application Form:

	•	Subsidy/assistance scheme to join					
Item	Required documents	Second-round OBB2.0 #	New round FSW Scheme #	Second-round LIMSS **	Common Area Subsidy Scheme	Mandatory Building Inspection Subsidy Scheme	'Smart Tender'
1	Copies of the meeting notices and minutes of the owners' meeting passing the resolutions of related issues	☑ (please refer to Paragraph 3.3 of "AN-OBB2.0(2)")	☑ (please refer to Paragraph 3.3 of "AN-FSWS")	☑ (please refer to Paragraph 3.3(c) of "AN- LIMSS(2)")	☑ (please refer to Paragraph 2.4.2 of "AN-CAS")	☑ (please refer to Paragraph 2.3.2 of "AN- MBISS")	 ✓ (please refer to Paragraph 4.2.3 of "AN- ST")
2	A copy of the Certificate of Registration of OC/Certificate of Registration of a Society/ Certificate of Registration and by-laws of CBS (if applicable)	V	V	V	V	V	V
3	A copy of Statutory Notice or pre- notification letter issued by Buildings Department for mandatory inspection of common part of the building (if applicable)	V			Ø	V	
4	A copy of the statutory notice or the advisory letter issued by government authorities for improvement of building safety of common areas of the building concerned (if applicable)				V		
5	"Fire Safety Direction" and/or related "Fire Safety Compliance Order" issued by FSD and BD (if applicable)				V		V
6	A copy of the contract of engagement of consultant and/or of the works if a consultant and/or a registered contractor has been engaged for the building in the application	V	V				
7	Schedule "Information Sheet of Lift" on page 14 of "AN-LIMSS(2)" completed by the applicant and the lift maintenance contractor thereof to provide information on the safety devices that are currently lacking and the service floors			Y			
8	A copy of improvement order for the related lift(s) issued by the Electrical and Mechanical Services Department (EMSD) pursuant to Lifts and Escalators Ordinance (if applicable)			Y			
9	If the building has appointed consultant or/and registered lift contractor, the applicant must submit copies of consultancy service contract or/ and works contract			V			

Deadline of application for the Second-round "Operation Building Bright 2.0" and the new round of "Fire Safety Improvement Works Subsidy Scheme" is 30 October 2020

** Deadline of application for the Second-round "Lift Modernisation Subsidy Scheme" is 30 September 2020.